

# System-Level Programming

## 20 Interrupts – Concurrency

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Summer Term 2025

<http://sys.cs.fau.de/lehre/ss25>



## Definition: Concurrency

Two executions  $A$  and  $B$  of a program are considered to be concurrent ( $A|B$ ), if for every single instruction  $a$  of  $A$  and  $b$  of  $B$  it is not determined, whether  $a$  or  $b$  is executed first ( $a, b$  or  $b, a$ ).

- Concurrency is induced by
  - Interrupts
    - ↪ IRQs can interrupt a program at an “arbitrary point”
  - Real-parallel sequences (by the hardware)
    - ↪ other CPU / peripheral devices access the memory at “anytime”
  - Quasi-parallel sequences (e. g., threads in an operating system)
    - ↪ OS can preempt tasks “anytime”
- **Problem:** Concurrent access to a **shared state**



# Problems with Concurrency

## ■ Scenario

- a light gate at the entrance of a parking lot counts cars
- every 60 seconds, the value is transferred to security agency

```
static volatile uint16_t cars;

void main(void) {
    while (1) {
        waitsec(60);
        send(cars);
        cars = 0;
    }
}
```

```
// photo sensor is connected
// to INT2

ISR(INT2_vect) {
    cars++;
}
```

## ■ Where does the problem occur?



# Problems with Concurrency

## ■ Scenario

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}
```

```
// photo sensor is connected
// to INT2

ISR(INT2_vect) {
    cars++;
}
```

## ■ Where does the problem occur?

- both `main()` as well as `ISR` **read and write** cars  
↳ potential *lost-update anomaly*



# Problems with Concurrency

## ■ Scenario

- a light gate at the entrance of a parking lot counts cars
- every 60 seconds, the value is transferred to security agency

```
static volatile uint16_t cars;

void main(void) {
    while (1) {
        waitsec(60);
        send(cars);
        cars = 0;
    }
}
```

```
// photo sensor is connected
// to INT2

ISR(INT2_vect) {
    cars++;
}
```

## ■ Where does the problem occur?

- both `main()` as well as `ISR` **read and write** cars
  - ↪ potential *lost-update anomaly*
- size of the variable `cars` **is larger than one register**
  - ↪ potential *read-write anomaly*



# Problems with Concurrency (continued)

- Where are the problems here?
  - **lost-update**: both `main()` as well as `ISR` read and write `cars`
  - **read-write**: size of the variable `cars` is larger than one register
- problem only becomes obvious when looking at the **assembly level**

```
void main(void) {  
    ...  
    send(cars);  
    cars = 0;  
    ...  
}
```

```
// photosensor is connected  
// to INT2
```

```
ISR(INT2_vect) {  
    cars++;  
}
```

```
main:  
    ...  
    lds r24,cars  
    lds r25,cars+1  
    rcall send  
    sts cars+1, __zero_reg__  
    sts cars, __zero_reg__  
    ...
```

```
INT2_vect:  
    ... ; save regs  
    lds r24,cars ; load cars.lo  
    lds r25,cars+1 ; load cars.hi  
    adiw r24,1 ; add (16 bit)  
    sts cars+1,r25 ; store cars.hi  
    sts cars,r24 ; store cars.lo  
    ... ; restore regs
```



# Concurrency Problems: *Lost-Update* Anomaly

```
main:
...
lds r24,cars
lds r25,cars+1
rcall send
sts cars+1,___zero_reg__
sts cars,___zero_reg__
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```



# Concurrency Problems: *Lost-Update Anomaly*

main:

```
...  
lds r24,cars  
lds r25,cars+1  
rcall send  
sts cars+1,___zero_reg__  
sts cars,___zero_reg__  
...
```



INT2\_vect:

```
... ; save regs  
lds r24,cars  
lds r25,cars+1  
adiw r24,1  
sts cars+1,r25  
sts cars,r24  
... ; restore regs
```

- Let cars=5 and let the IRQ (⚡) occur at **this point**



# Concurrency Problems: *Lost-Update Anomaly*

```
main:
...
lds r24,cars
lds r25,cars+1 ←
rcall send
sts cars+1,___zero_reg__
sts cars,___zero_reg__
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```



- Let cars=5 and let the IRQ (⚡) occur at **this point**
  - main already read the value of cars (5) from the register (register ↪ local variable)



# Concurrency Problems: *Lost-Update Anomaly*

```
main:
```

```
...  
lds r24,cars  
lds r25,cars+1  
rcall send  
sts cars+1, __zero_reg__  
sts cars, __zero_reg__  
...
```



```
INT2_vect:
```

```
... ; save regs  
lds r24,cars  
lds r25,cars+1  
adiw r24,1  
sts cars+1,r25  
sts cars,r24  
... ; restore regs
```

- Let cars=5 and let the IRQ (⚡) occur at **this point**
  - main already read the value of cars (5) from the register (register  $\leftrightarrow$  local variable)
  - INT2\_vect is executed
    - registers are saved
    - cars is incremented  $\rightsquigarrow$  cars=6
    - registers are restored



# Concurrency Problems: *Lost-Update Anomaly*

```
main:
...
lds r24,cars
lds r25,cars+1
rcall send
sts cars+1, __zero_reg__
sts cars, __zero_reg__
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```



- Let cars=5 and let the IRQ (⚡) occur at **this point**
  - main already read the value of cars (5) from the register (register  $\leftrightarrow$  local variable)
  - INT2\_vect is executed
    - registers are saved
    - cars is incremented  $\rightsquigarrow$  cars=6
    - registers are restored
  - main passes the **old value** of cars (5) to send



# Concurrency Problems: *Lost-Update Anomaly*

```
main:
...
lds r24,cars
lds r25,cars+1
rcall send
sts cars+1, __zero_reg__
sts cars, __zero_reg__
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```



- Let cars=5 and let the IRQ (⚡) occur at **this point**
  - main already read the value of cars (5) from the register (register  $\leftrightarrow$  local variable)
  - INT2\_vect is executed
    - registers are saved
    - cars is incremented  $\rightsquigarrow$  cars=6
    - registers are restored
  - main passes the **old value** of cars (5) to send
  - main sets cars to zero  $\rightsquigarrow$  **1 car is "lost"**



# Concurrency Problems: *Read-Write Anomaly*

```
main:
...
lds r24,cars
lds r25,cars+1
rcall send
sts cars+1,___zero_reg__
sts cars,___zero_reg__
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```



# Concurrency Problems: *Read-Write Anomaly*

```
main:
```

```
...
```

```
lds r24,cars
```

```
lds r25,cars+1
```

```
rcall send
```

```
sts cars+1,___zero_reg__
```

```
sts cars,___zero_reg__
```

```
...
```



```
INT2_vect:
```

```
...
```

```
lds r24,cars ; save regs
```

```
lds r25,cars+1
```

```
adiw r24,1
```

```
sts cars+1,r25
```

```
sts cars,r24
```

```
...
```

```
; restore regs
```

- Let cars=255 and let the IRQ (⚡) occur at **this point**



# Concurrency Problems: *Read-Write Anomaly*

main:

```
...  
lds r24,cars  
lds r25,cars+1  
rcall send  
sts cars+1,___zero_reg__ ← ⚡  
sts cars,___zero_reg__  
...
```

INT2\_vect:

```
... ; save regs  
lds r24,cars  
lds r25,cars+1  
adiw r24,1  
sts cars+1,r25  
sts cars,r24  
... ; restore regs
```

- Let cars=255 and let the IRQ (⚡) occur at **this point**
  - main has already transmitted cars=255 with send



# Concurrency Problems: *Read-Write Anomaly*

```
main:
```

```
...
```

```
lds r24,cars
```

```
lds r25,cars+1
```

```
rcall send
```

```
sts cars+1, __zero_reg
```

```
sts cars, __zero_reg
```

```
...
```

```
INT2_vect:
```

```
...
```

```
; save regs
```

```
lds r24,cars
```

```
lds r25,cars+1
```

```
adiw r24,1
```

```
sts cars+1,r25
```

```
sts cars,r24
```

```
...
```

```
; restore regs
```



- Let cars=255 and let the IRQ (⚡) occur at **this point**
  - main has already transmitted cars=255 with send
  - main has already set the **high byte** of cars to zero  
↪ cars=255, cars.lo=255, cars.hi=0



# Concurrency Problems: *Read-Write Anomaly*

```
main:
...
lds r24,cars
lds r25,cars+1
rcall send
sts cars+1,___zero_reg__ ← ⚡
sts cars,___zero_reg__
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```

- Let cars=255 and let the IRQ (⚡) occur at **this point**
  - main has already transmitted cars=255 with send
  - main has already set the **high byte** of cars to zero  
~> cars=255, cars.lo=255, cars.hi=0
  - INT2\_vect is executed
    - ~> cars is read and incremented, **overflow in the high byte**
    - ~> cars=256, cars.lo=0, cars.hi=1



# Concurrency Problems: *Read-Write Anomaly*

```
main:
...
lds r24,cars
lds r25,cars+1
rcall send
sts cars+1,___zero_reg___
sts cars,___zero_reg___ ← ⚡
...
```

```
INT2_vect:
... ; save regs
lds r24,cars
lds r25,cars+1
adiw r24,1
sts cars+1,r25
sts cars,r24
... ; restore regs
```

- Let cars=255 and let the IRQ (⚡) occur at **this point**
  - main has already transmitted cars=255 with send
  - main has already set the **high byte** of cars to zero  
~> cars=255, cars.lo=255, cars.hi=0
  - INT2\_vect is executed
    - ~> cars is read and incremented, **overflow in the high byte**
    - ~> cars=256, cars.lo=0, cars.hi=1
  - main sets the **low byte** of cars to zero
    - ~> cars=256, cars.lo=0, cars.hi=1
    - ~> During the next send, main will transmit **too many cars (255 cars)**



# Interrupt Locks: Avoid Data-Flow Anomalies

```
void main(void) {  
    while(1) {  
        waitsec(60);  
  
        send(cars);  
        cars = 0;  
  
    }  
}
```

- Where exactly is the **critical region**?



# Interrupt Locks: Avoid Data-Flow Anomalies

```
void main(void) {  
    while(1) {  
        waitsec(60);  
  
        send(cars);  
        cars = 0;  
  
    }  
}
```

**critical region**

- Where exactly is the **critical region**?
  - **Reading** of cars and **setting it to zero** have to be executed atomically



# Interrupt Locks: Avoid Data-Flow Anomalies

```
void main(void) {  
    while(1) {  
        waitsec(60);  
        cli();  
        send(cars);  
        cars = 0;  
        sei();  
    }  
}
```

critical region

- Where exactly is the **critical region**?
  - **Reading** of cars and **setting it to zero** have to be executed atomically
  - This can be forced by using **interrupt locks**
    - ISR interrupts main, never the other way round
      - ↪ asymmetric synchronization (also unilateral synchronization)



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- Where exactly is the **critical region**?
  - **Reading** of cars and **setting it to zero** have to be executed atomically
  - This can be forced by using **interrupt locks**
    - ISR interrupts main, never the other way round  
    ↪ asymmetric synchronization (also unilateral synchronization)
  - Attention: keep regions with blocked interrupts **as short as possible**
    - How long does the function send take?
    - Can send be excluded from the critical region?



- Scenario, part 2 (function `waitsec()`)
  - a light gate at the entrance of a parking lot should count cars
  - every 60 seconds, the value is transferred to security agency

```
void waitsec(uint8_t sec) {  
    ...           // setup timer  
    sleep_enable();  
    event = 0;  
    while (! event) { // wait for event  
        sleep_cpu(); // until next irq  
    }  
    sleep_disable();  
}
```

```
static volatile int8_t event;  
  
// TIMER1 ISR  
// triggers when  
// waitsec() expires  
  
ISR(TIMER1_COMPA_vect) {  
    event = 1;  
}
```

- Where exactly does the problem occur?



- Scenario, part 2 (function `waitsec()`)
  - a light gate at the entrance of a parking lot should count cars
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- Where exactly does the problem occur?
  - **Test, whether sth. is to be done**, followed by  
sleeping until there is sth. to do



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static volatile int8_t event;
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  - **Test, whether sth. is to be done**, followed by **sleeping until there is sth. to do**



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  - a light gate at the entrance of a parking lot should count cars
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    sleep_enable();
    event = 0;
    while (! event) { // wait for event
        sleep_cpu(); // until next irq
    }
    sleep_disable();
}
```

```
static volatile int8_t event;

// TIMER1 ISR
// triggers when
// waitsec() expires

ISR(TIMER1_COMPA_vect) {
    event = 1;
}
```

- Where exactly does the problem occur?
  - Test, whether sth. is to be done, followed by sleeping until there is sth. to do
    - ↪ Potential lost-wakeup anomaly



# Concurrency Problems: *Lost-Wakeup-Anomaly*

```
void waitsec(uint8_t sec) {  
    ... // setup timer  
    sleep_enable();  
    event = 0;  
    while (! event) {  
        sleep_cpu();  
    }  
    sleep_disable();  
}
```



```
static volatile int8_t event;  
  
// TIMER1 ISR  
// triggers when  
// waitsec() expires  
  
ISR(TIMER1_COMPA_vect) {  
    event = 1;  
}
```

- Suppose, at **this point** a timer-IRQ (⚡) occurs



# Concurrency Problems: *Lost-Wakeup-Anomaly*

```
void waitsec(uint8_t sec) {  
    ... // setup timer  
    sleep_enable();  
    event = 0;  
    while (! event) { ← ⚡  
        sleep_cpu();  
    }  
    sleep_disable();  
}
```

```
static volatile int8_t event;  
  
// TIMER1 ISR  
// triggers when  
// waitsec() expires  
  
ISR(TIMER1_COMPA_vect) {  
    event = 1;  
}
```

- Suppose, at **this point** a timer-IRQ (⚡) occurs
  - waitsec already determined that event is not set



# Concurrency Problems: *Lost-Wakeup-Anomaly*

```
void waitsec(uint8_t sec) {  
    ...           // setup timer  
    sleep_enable();  
    event = 0;  
    while (! event) { ← ⚡  
        sleep_cpu();  
    }  
    sleep_disable();  
}
```

```
static volatile int8_t event;  
  
// TIMER1 ISR  
// triggers when  
// waitsec() expires  
  
ISR(TIMER1_COMPA_vect) {  
    event = 1;  
}
```

- Suppose, at **this point** a timer-IRQ (⚡) occurs
  - waitsec already determined that event is not set
  - ISR is executed  $\rightsquigarrow$  event is set to 1



# Concurrency Problems: *Lost-Wakeup-Anomaly*

```
void waitsec(uint8_t sec) {  
    ...           // setup timer  
    sleep_enable();  
    event = 0;  
    while (! event) { ← ⚡  
        sleep_cpu();  
    }  
    sleep_disable();  
}
```

```
static volatile int8_t event;  
  
// TIMER1 ISR  
// triggers when  
// waitsec() expires  
  
ISR(TIMER1_COMPA_vect) {  
    event = 1;  
}
```

- Suppose, at **this point** a timer-IRQ (⚡) occurs
  - waitsec already determined that event is not set
  - ISR is executed ~ event **is set to 1**
  - Even though event is set to 1, the **sleep state is entered**
    - ~ If no further IRQ occurs, **sleeping forever**



# Lost-Wakeup: Prevention of Deep Sleep

```
1 void waitsec(uint8_t sec) {
2     ...           // setup timer
3     sleep_enable();
4     event = 0;
5
6     while (! event) {
7
8         sleep_cpu();
9
10    }
11
12    sleep_disable();
13 }
```

```
static volatile int8_t event;
// TIMER1 ISR
// triggers when
// waitsec() expires
ISR(TIMER1_COMPA_vect) {
    event = 1;
}
```

- Where exactly is the **critical region** located?



# Lost-Wakeup: Prevention of Deep Sleep

```
1 void waitsec(uint8_t sec) {
2     ...           // setup timer
3     sleep_enable();
4     event = 0;
5
6     while (! event) {
7         sleep_cpu();           critical region
8     }
9
10 }
11
12 sleep_disable();
13 }
```

```
static volatile int8_t event;
// TIMER1 ISR
// triggers when
// waitsec() expires
ISR(TIMER1_COMPA_vect) {
    event = 1;
}
```

- Where exactly is the **critical region** located?
  - evaluation of the condition and entry of the sleeping state  
(Can *this* be solved by interrupt blocking?)



# Lost-Wakeup: Prevention of Deep Sleep

```
1 void waitsec(uint8_t sec) {
2     ... // setup timer
3     sleep_enable();
4     event = 0;
5     cli();
6     while (! event) {
7         sei(); // critical region
8         sleep_cpu();
9         cli();
10    }
11    sei();
12    sleep_disable();
13 }
```

```
static volatile int8_t event;
// TIMER1 ISR
// triggers when
// waitsec() expires
ISR(TIMER1_COMPA_vect) {
    event = 1;
}
```

- Where exactly is the **critical region** located?
  - evaluation of the condition and entry of the sleeping state (Can *this* be solved by interrupt blocking?)
  - problem: the IRQs have to be unblocked prior to `sleep_cpu()`!



# Lost-Wakeup: Prevention of Deep Sleep

```
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2     ... // setup timer  
3     sleep_enable();  
4     event = 0;  
5     cli();  
6     while (! event) {  
7         sei(); // critical region  
8         sleep_cpu();  
9         cli();  
10    }  
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```

```
static volatile int8_t event;  
  
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ISR(TIMER1_COMPA_vect) {  
    event = 1;  
}
```

- Where exactly is the **critical region** located?
  - evaluation of the condition and entry of the sleeping state (Can *this* be solved by interrupt blocking?)
  - problem: the IRQs have to be unblocked prior to `sleep_cpu()`!
  - works thanks to specific **hardware support**:
    - ↪ sequence `sei`, `sleep` is executed as an **atomic instruction**



# Summary

- Handling of interrupts is **asynchronous** to the program flow
  - unexpected  $\rightsquigarrow$  current state has to be saved in the interrupt handler
  - source of concurrency  $\rightsquigarrow$  **synchronization required**
- Measures for synchronization
  - shared variables shall (always) be declared as **volatile**
  - blocking arrival of interrupts: `cli`, `sei` (when working with non-atomic accesses that translate to more than one machine instruction)
  - **Locking for longer times leads to the loss of IRQs!**
- Concurrency induced by interrupts is **enormous source for errors**
  - *lost-update* and *lost-wakeup* problems
  - indeterministic  $\rightsquigarrow$  cannot efficiently be tested for
- Important for complexity management: **modularization**  $\leftrightarrow$  12-7
  - Interrupt handler and functions accessing a shared state (**static** variables!) should be encapsulated in their own module

